



Sheet 1 of 5

FORM PTO-1449 (Subsidiary)

ATTY. DOCKET NO.

SERIAL NO.

09/897 215

**LIST OF PRIOR ART CITED BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

PAUL H. HARTMAN

FILING DATE

7/02/2001

GROUP

SEP 21 3 35

RECEIVED

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SECTION	FILING DATE
AA	3,760,548	9/25/73	SAUER	52	593	
AB	4,738,072	4/19/88	CLEMENSON	52	742	
AC	4,875,320	10/24/89	SPARKES	52	906	
AD	5,724,780	3/10/98	BOLICH	52	407	
AE	5,965,231	10/12/99	ROTERMUND	428	69	
AF						
AG						
AH						
AI						
AJ						
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**FOREIGN PATENT DOCUMENTS**

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**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

8/21/05

Sheet 2 of 5

FORM PTO-1449 (Substitute)		ATTY. DOCKET NO.		SERIAL NO.	
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT PAUL H. HARTMAN		RECEIVED	
		FILING DATE 7/02/2001		GROUP SEP 21 2001 3635	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE (IF APPROPRIATE)
AA	3,788,013	1/24/74	VEEN	203600 MAIL ROOM	
AB	4,104,834	8/08/78	ANGHINETTI	52 1	
AC	5,027,741	7/02/91	SMITH	115 205	
AD	5,165,659	11/24/92	L'HEUREUX	254 17	
AE	5,483,956	1/16/96	SHAPIRO	128 206	
AF	5,927,990	7/27/99	WELCH	434 226	
AG	5,960,596	10/05/99	LYONS	52 200	
AH	6,114,948	9/05/00	ASTELL	340 332	
AI	6,003,609	12/21/99	WALLS	169 57	
AJ	6,161,348	10/19/00	MORRIS	52 232	
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[Signature]		[Signature]			
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Brian Sh

Form 10-6

4/25/05

Sheet 3 of 5

FORM PTO-1449 (Substitute)				ATTY. DOCKET NO.		SERIAL NO.	
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT		09/897 215	
				PAUL H. HARTMAN			
				FILING DATE		GROUP	
				7/02/2002		3535	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
66	AA	4,244,355	1/13/81	STOUT	<del>52</del>	<del>320</del>	
	AB	4,327,707	5/4/82	WILHELM	<del>52</del>	<del>320</del>	
	AC	5,062,247	11/05/91	DITTMER	<del>52</del>	<del>320</del>	
	AD	5,134,827	8/04/92	HARTMAN	<del>52</del>	<del>320</del>	
	AE	5,204,777	4/20/93	CURSHOD	<del>52</del>	<del>320</del>	
	AF	5,323,576	6/28/94	GUMPERT	<del>52</del>	<del>320</del>	
	AG	5,617,682	4/08/97	CHRISTOPHER	<del>52</del>	<del>320</del>	
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EXAMINER				DATE CONSIDERED			
B. Green				4/21/05			
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FORM PTO-1449 (Substitute)				ATTY. DOCKET NO.		SERIAL NO. <b>09/897 215</b>	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)				APPLICANT <b>PAUL H. HARTMAN</b>		GROUP <b>3635</b>	
				FILING DATE <b>7/08/2001</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>B.G.</b>	AA	<b>1,939,598</b>	<b>12/12/33</b>	<b>RAGSDALE</b>	<b>189</b>	<b>137</b>	
	AB	<b>2,863,185</b>	<b>12/09/58</b>	<b>RIED i</b>	<b>20</b>	<b>32</b>	
	AC	<b>4,567,710</b>	<b>2/04/86</b>	<b>REED</b>	<b>52</b>	<b>784.13</b>	
	AD	<b>4,989,782</b>	<b>2/05/91</b>	<b>McKIE</b>	<b>238</b>	<b>102</b>	
	AE	<b>5,062,250</b>	<b>11/05/91</b>	<b>BUZZELLA</b>	<b>52</b>	<b>584</b>	
	AF	<b>5,125,207</b>	<b>6/30/92</b>	<b>STROBL</b>	<b>52</b>	<b>787.1</b>	
	AG	<b>5,343,665</b>	<b>9/06/94</b>	<b>PALMERSTON</b>	<b>52</b>	<b>588</b>	
	AH	<b>5,737,893</b>	<b>4/14/98</b>	<b>ROSSITER</b>	<b>52</b>	<b>482.2</b>	
<b>B.G.</b>	AI	<b>5,875,599</b>	<b>3/02/99</b>	<b>McGRATH</b>	<b>52</b>	<b>582.2</b>	
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EXAMINER 				DATE CONSIDERED <b>4/21/05</b>			
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FORM PTO-1449 (Supplemental)		ATTY. DOCKET NO.		SERIAL NO. 09/897 215	
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT PAUL H. HARTMAN			
		FILING DATE 7/02/2001		GROUP 3635	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
AB	AA	3,966,342	10/25/74	MORNA	4051-1
AB	AB	4,249,030	2/10/81	DAY	4051-1
AC	AC	4,485,597	12/4/84	WORRALL O	52-1
AD	AD	4,549,832	10/29/85	STERL	4051-1
AE	AE	4,926,611	5/22/90	FUNAKI	52-1
AF	AF	5,974,760	2/2/99	TINGLEY	52-1
AG	AG				
AH	AH				
AI	AI				
AJ	AJ				
AK	AK				
FOREIGN PATENT DOCUMENTS					
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	AO				
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
		<del>TECHNICAL DATA CHARACTERIZING SPACE CONDITIONING in</del> <del>COMMERCIAL BUILDINGS, SERGEANT, LAWRENCE</del> <del>BERKELEY LABORATORIES, http://161.905/Info/</del> <del>37065-2/000001, 000002</del> <del>Reference Table 5.1, Baseline Characteristics in</del> <del>Commercial Buildings, (U.S.</del> <del>Patents)</del>			
EXAMINER		DATE CONSIDERED			
[Signature]		4/21/05			
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